



# Comparative analysis of Cancun Safeguards, FCPF SESA, UN- REDD SEPC, and REDD+ SES

Second meeting of sub-technical working group on  
REDD+ environmental & social safeguards, 19<sup>th</sup> April



# Outline

- ◆ UNEP-WCMC's work on safeguards
- ◆ Comparative analysis of existing safeguards and related approaches
  - Institutional setting
  - Development stage
  - Purpose
  - Coverage
  - Process
- ◆ Summary

# UNEP-WCMC - work on safeguards

The UNEP World Conservation Monitoring Centre  
(UNEP-WCMC)

- Supports, at global and national scale, the conservation of biodiversity and ecosystem services in the planning and implementation of REDD+
- Provides technical support to UNEP, and through UNEP is part of UN-REDD
- Conducts analyses on needs for safeguards and their implementation in programme and other contexts, e.g. biodiversity safeguards in Germany's International Climate Initiative

# Comparative analysis of existing safeguards and related approaches

## Safeguards and related approaches:

- ◆ Cancun Safeguards
- ◆ UN-REDD Social & Environmental Principles and Criteria (SEPC)
- ◆ Forest Carbon Partnership Facility Strategic Environmental and Social Assessment (FCPF SESA)
- ◆ REDD+ Social and Environmental Standards (SES)

## Analysis:

- ◆ Institutional setting and development stage
- ◆ Purpose of safeguards and related approaches
- ◆ Content of safeguards and related approaches
- ◆ Process for applying safeguards and related approaches

# Institutional Setting

- ◆ The Cancun Safeguards
  - Developed under UNFCCC
- ◆ UN-REDD SEPC
  - Drafted by UNDP, UNEP and FAO under UN-REDD
- ◆ FCPF SESA
  - SESA developed by the World Bank, applied under the FCPF, a global REDD+ partnership
- ◆ REDD+ SES
  - Developed by CARE International and Climate, Community and Biodiversity Alliance (CCBA)

# Development stage of safeguards and related approaches

- ◆ Cancun Safeguards: Finalised and agreed<sup>1</sup>
- ◆ UN-REDD SEPC: Version 4 welcomed by UN-REDD Policy Board
- ◆ FCPF SESA: Finalised and agreed
- ◆ REDD+ SES: Version 2 under consultation

# Purpose of safeguards and related approaches

## ◆ Cancun Safeguards

- Statements of intent
- To be promoted and supported by countries implementing REDD+

## ◆ FCPF SESA

- Assessment process leading to a management framework
- To advise a country on how to address social and environmental issues for site-specific investments during the REDD readiness phase

## ◆ UN-REDD SEPC

- Guiding Framework
- To address social and environmental issues in UN-REDD National Programmes and UN-REDD funded activities, and to support countries in developing their national approaches to REDD+ safeguards in line with the UNFCCC

## ◆ REDD+ SES

- Process and framework for country programs
- To assist with program design and provide a mechanism for reporting on how safeguards are addressed and benefits of REDD+ programs have been delivered

# Coverage of safeguards & approaches

|  | Cancun<br>Safeguards | UN-REDD<br>SEPC | FCPF<br>SESAs | REDD+<br>SES |
|--|----------------------|-----------------|---------------|--------------|
| <b>STRUCTURE</b>   |                      |                 |               |              |
| Overarching statements of intent                           | X                    | X               | X             | X            |
| Defined principles and criteria                            |                      | X               | (X)           | X            |
| Indicators   |                      |                 |               | X            |
| Operational guidance                                       |                      | (X)             | X             | X            |
| <b>CONTENT</b>   |                      |                 |               |              |
| Promote the delivery of social and environmental benefits  | X                    | X               | X             | X            |
| Aim to prevent unintended side-effects of REDD+ activities | X                    | X               | X             | X            |
| Seek to address indirect land use change and reversals     | X                    | X               |               |              |

\* If environmental and social safeguard policies and procedures of the delivery partner are more stringent and/or protective than those of the World Bank, delivery partners can apply their policies and procedures to activities undertaken.



# Process of applying safeguards and approaches

## ◆ Cancun Safeguards

- No standard process of application but request for countries to develop a Safeguards Information Systems (SIS).

## ◆ UN-REDD SEPC

- No standard process of application, implementation is country-specific.
- UN-REDD provides tools to assist, e.g. Benefits and Risks Tool (BeRT).

## ◆ FCPF SESAs

- Integration of safeguard policies and procedures into national REDD+ strategy process and activities through:
  - ◆ Strategic planning;
  - ◆ Risk assessment;
  - ◆ Development of monitoring & management arrangements;
  - ◆ Stakeholder engagement.

## ◆ REDD+ SES

- Multi-stakeholder assessment process for country-specific interpretation and application of standards and associated assessment process, including monitoring and reporting

# Summary of safeguards and related approaches

- ◆ The purpose of all safeguards and related approaches is to ensure social and environmental benefits through national REDD+ strategies and activities;
- ◆ Institutional setting and development of each of the safeguards and approaches has provided for some differences in content and application processes;
- ◆ The variety of existing safeguards and approaches can provide for a flexible approach to considering the development of national safeguards.



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